



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Handwritten notes: "11/11/03" and "3/17/03" with initials.

In re the Application of: **HARADA, Masamichi**

Group Art Unit: **2823**

Serial No.: **09/504,923**

Examiner: Neal **BEREZNY**

Filed: **February 16, 2000**

P.T.O. Confirmation No.: **6691**

For. **PROCESS FOR PRODUCING BARRIER FILM AND BARRIER FILM THUS PRODUCED**

**PRELIMINARY AMENDMENT**

Commissioner for Patents  
Washington, D.C. 20231

Date: January 17, 2003

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TECHNOLOGY CENTER 2800

Sir:

This is a Preliminary Amendment for the Request for Continued Examination (RCE) for the above-captioned patent application, the RCE having been filed on January 13, 2003. Please amend this patent application as follows:

**IN THE CLAIMS:**

Claims 1, 3, 8, 11 and 13 have been amended so as to read as follows:

1. (Thrice Amended) A process for producing a barrier film by a heat CVD method which comprises the steps of:  
  
providing a substrate on a substrate holder in a vacuum atmosphere within a CVD apparatus;